

## **FTT FORM**

### **FINANCIAL TRANSACTION TAX**

Information on the processing of personal data pursuant art. 13, Legislative Decree no.196/2003 in the field of Personal Data Protection

Legislative Decree No. 196 of the June 30, 2003 "The code for the protection of personal data", provides for a system of protection for the processing carried out on personal data.

## Purposes of processing

The Ministry of the Economy and Finance and the Revenue Agency wish to inform you, on their behalf and on behalf of other persons obliged to do so, that the form contains several items of personal data that will be processed by the Ministry of the Economy and Finance and the Revenue Agency, as well as by intermediaries identified by legislation (Tax Assistance Centres, banks, postal agencies, trade ssociations and professionals) for the purposes of payment, assessment and collection of taxes and that, to this end, certain data may be published pursuant to the combined provisions of article 69 of Presidential Decree no. 600 of 29 September 1973 as amended by Law no. 133 of 6 August 2008, and by article 66-bis of Presidential Decree no. 633 of 1972.

The data in the possession of the Ministry of the Economy and Finance and the Revenue Agency may be communicated to other public entities where legislation provides for this, or when such communication is necessary in order for them to carry out their institutional functions, subject to this being communicated to the Privacy Commissioner (Data Protection Commissioner) beforehand.

The same information may also be communicated to private or public economic entities where the legislation provides for this.

#### Personal data

The data requested in the form must be supplied to prevent the application of administrative and, in some instances, criminal sanctions. It is not compulsory to provide a telephone number, mobile phone number, fax number and email address. Providing these makes it possible to receive, free of charge, from the Revenue Agency, information and updates regarding final payment dates, news, obligations and services offered.

# Method of processing

The form may be delivered to an intermediary provided for by legislation (Tax Assistance Centres [CAF], trade associations, professionals) who sends the data to the Ministry of the Economy and Finance and the Revenue Agency.

Any data provided is mainly processed electronically and using appropriate procedures for the purpose, including checks on the data contained in the form:

- with other data in the possession of the Ministry of the Economy and Finance and the Revenue Agency, also if provided, as required by law, by other subjects;
- with data in the possession of other entities

### **Data controllers**

When the said data is made available to them and falls under their direct control, the Ministry of the Economy and Finance, the Revenue Agency and the intermediaries become "the data controllers for the processing of the personal data".

In particular the following persons are "data controllers":

- the Ministry of the Economy and Finance and the Revenue Agency, at whose offices a list of the "data processors" is kept and this list may be viewed on request;
- if they take advantage of the right to appoint "data processors", the intermediaries must supply details as to the identity of the data processors, to the person concerned.

# Persons responsible for data processing

"Data controllers" may make use of the services of others designated "responsible".

In particular, the Revenue Agency makes use of the services of the company So.ge.i. S.p.a. as the external entity responsible for data processing, in its capacity as technological partner to which the management of the information system of the Tax Register is entrusted.

### Taxpayer's rights

The person (taxpayer) concerned, in terms of article 7 of Legislative Decree No. 196/2003, may view his personal data at the premises of the data controller or the person responsible for data processing in order to verify the use to which it is being put or if necessary, to correct or update it within the limits provided for by law, or to cancel it or oppose its processing, where it is being processed illegally.

These rights may be exercised upon request to:

- Ministero dell'Economia e delle Finanze Via XX Settembre 97 00187 Roma;
- Agenzia delle Entrate Via Cristoforo Colombo, 426 c/d Roma.

### Consent

The Ministry of the Economy and Finance and the Revenue Agency, in their capacity as public entities, do not need to acquire the consent of the persons concerned in order to process their personal data. Intermediaries do not need to acquire consent for processing of personal data, as their conferment is required by law.

This information is given generally on behalf of all the data controllers referred to above.





IAX	CODE							

## **FTT FORM**

### **FINANCIAL TRANSACTION TAX**

TYPE OF			RELA	TIONSHIP							
RETURN	Correction of existing return	Supplementary return	Taxpayer	Intermediary	Reference ye	ear					
INDIVIDUALS	Surname		Name								
	Carnaine		, value			Gender M F					
	Date of birth	Town (	or foreign Country) of birth			Province (initial)					
	day month	year									
TAXPAYERS OTHER THAN INDIVIDUALS	Name or company name	9				Legal nature					
NON-RESIDENT TAXPAYERS	Foreign Country code										
INFORMATION REGARDING	Tax code			Appointment code	Company tax code						
REPRESENTATI VE											
SIGNING THE RETURN	Surname		Name			Condor					
THE REPORT						Gender M F					
	Date of birth	,	or foreign Country) of birth			Province (initial)ia (sigla)					
	day month	year									
	Foreign Country code Federated state, province, county  Place of residence										
		, , , , , , , , , , , , , , , , , , , ,	,,		Trace of resid						
	Foreign address										
CONTACT DETAILS	Telephone		Mobile phone		Fax						
DETAILS	dialling code number		dialling code number		dialling code nu	mber					
	Email address										
SIGNATURE											
	Send electronic notice intermedia		5	SIGNATURE							
UNDERTAKING	tax number of the respo	nsible party									
TO ELECTRONIC				Recept electronic r	ion of						
SUBMISSION					re of the responsible p	artv					
	Date of the undertaking	year		Signati	are of the responsible p	urty					

PART TT	JANUARY	Number of	Taxable							
Section I		transactions	amount		ount owed					
Data on tran	TT1 Holdings	Number of	2 ,(	00 3	,00					
sactions		Number of transactions	Notional Value	_	ount owed					
carried out	TT2 Derivatives	Number		00 3	,00					
	High	Number of transactions	Taxable amount		ount owed					
	TT3 frequency trades	1	2 ,(	00 3	,00	Use of credit from previous		Amount paid	Amount noid	Excess
					al amount owed	return		Amount paid using F24	Amount paid by bank transfer	amount paid
	TT4 Payments			3	,00	4 ,00	6	,00	,00	,00
	FEBRUARY	, Number of	Taxable							
		transactions	amount		ount owed					
	TT5 Holdings	1	2 ,(	3	,00					
		Number of transactions	Notional Value		ount owed					
	TT6 Derivatives	1	2 ,(	3	,00					
	High	Number of transactions	Taxable amount		ount owed					
	TT7 frequency trades	1	2	3	,00	Use of credit from previous	Use of surplus	A : -1		F
	liaues			Tota	al amount owed	return	payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT8 Payments			3		4 ,00	5 ,00	,00	,00	8 ,00
	MARCH				,50	,30	,00	,00	,50	,30
	1717 (1 (0) 1	Number of transactions	Taxable amount	Amo	ount owed					
	TT9 Holdings	1	2	3	,00					
		Number of	Notional Value		ount owed					
	TT10 Derivatives	transactions	2	3	,00					
	Himb	Number of transactions	Taxable		ount owed					
	High TT11 frequency	1	amount 2	3 _	,00	Use of credit				
	trades		,(	Tota	al amount owed	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT12 Payments			3		4	5 6	.00		8 ,00
	APRIL				,00	,00	,00	,00	,00	,00
	/ II III	Number of transactions	Taxable	Amo	ount owed					
	TT13 Holdings	1	amount 2	3	,00					
		Number of transactions	Notional Value		ount owed					
	TT14 Derivatives	1	2	3	,00					
	I Carlo	Number of transactions	Taxable		ount owed					
	High TT15 frequency	1	amount 2	3 _	,00	Use of credit				
	trades		,(	Tota	al amount owed	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT16 Payment			3		4	5 6	. 7	7	8
	MAY				.00,	,00	,00	,00	,00_	,00
	IVI/ CI	Number of transactions	Taxable amount	Amo	ount owed					
	TT17 Holdings	1	2	3	,00					
		Number of transactions	Notional Value		ount owed					
	TT18 Derivatives		2	3	,00					
		Number of transactions	Taxable	00 Ame	ount owed					
	High <b>TT19</b> frequency		amount 2	3		Use of credit				
	trades		,(	Tota	al amount owed	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT20 Payments			3	,00	4	5 6	using 1 24		8
	JUNE				,00	,00	,00	,00	,00	,00
	JOINE	Number of transactions	Taxable	Amo	ount owed					
	TT21 Holdings	1	amount 2	3						
		Number of	Notional Value	00 \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	,00,					
	TT22 Derivatives	transactions	2	3	ount owed					
		Number of	Taxable	00 Amo	,00 ount owed					
	High TT23 frequency	transactions	amount 2	3		Use of credit				
	trades		,(	Tota	al amount	from previous return	Use of surplus	Amount paid	Amount paid	Excess amount paid
	TT24 Payments			3	owed	4	payments 5,00	using F24	7	8
	· · Z · · · · · · · · · · · · ·				,00	,00	,00	,00	,00	,00

PART TT	JULY									
	OOLI	Number of transactions	Taxable amount		Amount owed					
Section I	TT25 Holdings	1	2	3						
Data on tran		Number of		,00	,00,					
sactions		transactions	Notional Value	:	Amount owed					
carried out	TT26 Derivatives	1	2	,00	.00					
ourned out		Number of	Taxable	,						
	High TT27 frequency	transactions	amount	3	Amount owed	Llso of crodit				
	trades		<u> </u>	,00	,00	Use of credit from previous	Use of surplus	Amount paid	Amount paid	Excess
					Total amount owed	return	payments	using F24	by bank transfer	amount paid
	TT28 Payments			3		4 ,00	5 ,00 6	,00	7 ,00	,00
					,00	,00	,00	,00	,00	,00
	AUGUST	Number of	Taxable							
		transactions	amount		Amount owed					
	TT29 Holdings	1	2	,00	.00					
		Number of transactions	Notional Value							
	TT20 Danis artis and	1	2	3	Amount owed					
	TT30 Derivatives	Number of		,00	,00,					
	High	transactions	Taxable amount		Amount owed					
	TT31 frequency	1	2	,00	,00	Use of credit				
	trades			,00	Total amount	from previous return	Use of surplus	Amount paid using F24	Amount paid	Excess
				2	owed		payments		by bank transfer	amount paid
	TT32 Payments			3	,00,	4 ,00	5 ,00 6	,00	7 ,00	,00
	SEPTEMBE	Number of								
	0	Number of transactions	Taxable		Amount owed					
	TT22 Holdings	1	amount	3						
	TT33 Holdings	Number of		,00	,00					
		transactions	Notional Value		Amount owed					
	TT34 Derivatives	1	2	,00 3	,00					
		Number of	Taxable	,00						
	High	transactions	amount	3	Amount owed	Lloo of gradit				
	TT35 frequency trades		ľ	,00	,00	Use of credit from previous	Use of surplus	Amount paid	Amount paid	Excess
					Total amount owed	return	payments	using F24	by bank transfer	amount paid
	TT36 Payments			3	.00	4 ,00	5 ,00 6	,00	7 ,00	,00
	OOTODED				,00	,00	,00	,00	,00	,00
	OCTOBER	Number of	Taxable							
		transactions	amount		Amount owed					
	TT37 Holdings	1	2	,00	.00					
		Number of transactions	Notional Value		Amount owed					
	TT20 Derivetives		2	3						
	TT38 Derivatives	Number of		,00	,00,					
	High	transactions	Taxable amount		Amount owed					
	TT39 frequency	1	2	,00	,00	Use of credit				
	trades			,00	Total amount	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
				3	owed					
	TT40 Payments				,00,	4 ,00	,00	,00	7 ,00	,00
	NOVEMBE	R								
		Number of transactions	Taxable		Amount owed					
	TT41 Holdings	1	amount	3						
	. 1-1 Flordings	Number of		,00	,00,					
		transactions	Notional Value		Amount owed					
	TT42 Derivatives	No. 1	2	,00	,00					
		Number of transactions	Taxable		Amount owed					
	High TT43 frequency	1	amount	3		Use of credit				
	trades			,00	,00 Total amount	from previous	Use of surplus	Amount paid	Amount paid	Excess
					owed	return	pavments	using F24	by bank transfer	amount paid
	TT44 Payments			3	.00	4 ,00	5 ,00 6	,00	7 ,00	,00
	DECEMBE	D			, , ,			, , , ,	, , , , , , , , , , , , , , , , , , ,	, ,
	DECLIVIDE	Number of	Taxable							
		transactions	amount		Amount owed					
	TT45 Holdings	1	2	,00	,00,					
		Number of transactions	Notional Value		Amount owed					
	TT46 Derivatives		2	3						
	1 140 Denvalives	Number of		,00	,00					
	High	transactions	Taxable amount		Amount owed					
	TT47 frequency	1	2	,00	,00	Use of credit			A	
	trades			,00	Total amount	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT40 Downsonts			3	owed					
	TT48 Payments			3	,00	4 ,00	,00	,00	7 ,00	,00

TAX CODE | | | | | | | | | | |

Section II Summary		Credit from previous return		Excess amounts paid, current return	Amount used	(	Credit on supplemen- tary statements	Credit to carry forward	
	TT49	1	,00 2	,00	,00,	4	,00,	5	,00
		Credit requested as refund							
<u> </u>		6	,00						
Section III				PARAC	GRAPH 491		PARA	GRAPH 492	
Holdings and derivatives Exclusions/ex				Number of transactions	Taxable amount		Number of transactions	Notional value	
emptions	TT50 Inl	heritances and gifts		1	2	,00	3	4	,00
	<b>TT51</b> Bo	onds and debt securities		Number of transactions	Taxable amount	,00	Number of transactions	Notional value	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT52 Iss	suance and cancellation		1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT53 Pu	urchase of newly issued shar	es	1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT54 All	location of securities		1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT55 Re	epo and Security Lending		<u>'</u>	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT56 Sr	nall Cap		<u>'</u>		,00	<u> </u>	4	,00
	TTEZ Ind	han a walla		Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT57 Inf	tragroup		Number of transactions	Toyoble emount	,00	Number of transactions	National value	,00
	TTES CI	U Restructuring and Reorga	nication	Number of transactions	Taxable amount		Number of transactions	Notional value	
	1136 01	o restructuring and reorga	ilisation	Number of transactions	Taxable amount	,00	Number of transactions	Notional value	,00
	TT59 Ri	skless Principal		1	2	00	3	4	00
		oooo i io.pa.		Number of transactions	Taxable amount	,00	Number of transactions	Notional value	,00
		assa di compensazione e ga		1	2	,00	3	4	,00
	(C	entral Counterparty Clearing	House)	Number of transactions	Taxable amount	,00	Number of transactions	Notional value	,00
	TT61 Sc	overeign entities		1	2	.00	3	4	,00
				Number of transactions	Taxable amount	,	Number of transactions	Notional value	
	TT62 Et	hical funds		1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT63 Et	hical portfolios		1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT64 Ma	arket-Making		1	2	,00	3	4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT65 Lie	quidity support		1	2	,00		4	,00
				Number of transactions	Taxable amount		Number of transactions	Notional value	
	TT66 Pe	ension funds				,00	3	<b>*</b>	,00