

# FTT FORM

## FINANCIAL TRANSACTION TAX

<b>Disclosure on personal data processing under art. 13 and 14 of EU Regulation 2016/679</b>	<i>This form shows how the Revenue Agency processes the collected data and which are the rights entitled to the person in question according to the EU Regulation 2016/679 about the protection of natural persons concerning the processing of personal data, and to the Law Decree 196/2003 about personal data protection.</i>
<b>Purposes of the processing</b>	The data provided with this form will be processed by the Revenue Agency to carry out activities of tax assessment, verification and collection and for any additional purposes that may be provided for by specific laws. The data may be used to identify taxpayers with a high risk of tax evasion, fraud or avoidance, as provided for in the relevant legislation.
<b>Data conferment</b>	The requested data must be compulsorily provided for the fulfilment of declaratory obligations. The indication of false data may result in administrative, or in some cases, criminal sanctions. The indication of telephone number, fax number and e-mail address is optional and it allows to receive free news and updates on deadlines, obligations and services from the Revenue Agency.
<b>Legal basis</b>	The legal basis of the processing is to be identified in the exercise of public powers related to the performance of the aforementioned activities (liquidation, assessment and collection) of which the Revenue Agency is invested (Article 6, §1 letter e) of the Regulations), based on the provisions of sector regulations. Article 28, paragraph 3-bis of Decree-Law No. 4 of 27 January 2022, converted, with amendments, by Law No. 25 of 28 March 2022, introduced letter d-bis) into Article 17, paragraph 2, of Legislative Decree No. 241 of 9 July 1997, making the financial transaction tax subject to the provisions on unitary payment and set-off provided for by the aforementioned Article 17.
<b>Data conservation period</b>	Data will be kept until 31 December of the eleventh year following the year in which the return was submitted, namely within the period of definition of possible judicial proceedings or to answer possible requests on behalf of the Judicial Authority.
<b>Categories of recipients of personal data</b>	Your personal data will be processed by the persons designated by the Data Controller as Data Processors, i.e. the persons authorised to process personal data who work under the direct authority of the Data Controller or the Data Processor. Apart from these cases, your data will not be disclosed, nor will it be communicated to third parties; however, if necessary, it may be communicated: <ul style="list-style-type: none"> <li>– to subjects to whom the communication of the data must be made in fulfilment of an obligation provided for by law, by a regulation, by a general administrative act or by Community legislation, or to comply with an order of the judicial authority;</li> <li>– to other possible third parties, should the communication be necessary for the protection of the Agency in judicial proceedings, in compliance with the provisions in force on the protection of personal data.</li> </ul>
<b>Transfer of data abroad</b>	Certain data may be communicated, in fulfilment of a legal obligation or on the basis of international cooperation rules, to countries or international organisations located both within and outside the European Union.
<b>Processing methodology</b>	Personal data will be also processed with automated tools during the period necessary to fulfil the purposes for which data was originally collected. The Revenue Agency implements appropriate measures to ensure the data are processed in compliance with the purposes of its management; the Revenue Agency implements appropriate security, organizational, technical and physical measures to protect data from corruption, destruction, loss, theft, misuse or illegal use. The form may be delivered to intermediary subjects specified by Law (support centres, professional associations and institutions) who will only process the data for submitting the form to the Revenue Agency. For the sole purpose of submission, the intermediaries become "data controllers" when the data come into their possession and under their direct control.
<b>Data controller</b>	The data controller is the Revenue Agency, with registered office in Rome, Via Giorgione 106 – 00147.
<b>Entity responsible for data processing</b>	The Revenue Agency works with Sogei S.p.A., as its technological partner for the management of the information system of the Tax Register, designated as Data Processor pursuant to art. 28 of Regulation (EU) 2016/679.
<b>Data controller</b>	The contact information of the Revenue Agency's entity, responsible for data protection is <a href="mailto:entrate.dpo@agenziaentrate.it">entrate.dpo@agenziaentrate.it</a> for all issues relating to the processing of personal data.
<b>Rights of the person concerned</b>	The interested party has the right, at any time, to obtain confirmation of the existence or non-existence of the data provided, including by consulting the Consultation area of the Revenue Agency's website. The rights provided for in Articles 15 et seq. of the Regulation may be exercised alternatively through: <ul style="list-style-type: none"> <li>– web application available in the reserved area of the Revenue Agency's website</li> <li>– a special form in the free area of the Revenue Agency's website which guides the user through the various stages of drafting the application</li> <li>– ordinary or registered mail with return receipt to the address Via Giorgione 106 - 00147 Rome</li> <li>– certified electronic mail at <a href="mailto:eserciziodiritti@pec.agenziaentrate.it">eserciziodiritti@pec.agenziaentrate.it</a>.</li> </ul> Should the data subject consider that the processing has not been carried out in compliance with the Regulation and with Legislative Decree 196/2003, he/she may refer the matter to the Personal Data Protection Authority, pursuant to Article 77 of the same Regulation. Further information on your rights regarding the protection of personal data can be found on the website of the Data Protection Authority at <a href="http://www.garanteprivacy.it">www.garanteprivacy.it</a>
<b>Consent</b>	As a public entity, the Revenue Agency should not ask the concerned persons for consent to process their personal data. The intermediaries are not obliged to ask the concerned persons for consent, since it is provided by Law.
<b>Amendments</b>	The Revenue Agency reserves the right to make, at its sole discretion and at any time, any amendments to this notice deemed appropriate or made mandatory by the rules in force from time to time, giving adequate publicity in the dedicated section of the website <a href="http://www.agenziaentrate.gov.it">www.agenziaentrate.gov.it</a> . <b>This disclosure is given, in a general way, to all the above-mentioned data controllers.</b>

# FTT FORM

## FINANCIAL TRANSACTION TAX

TYPE OF RETURN	Correction of existing return	Supplementary return	RELATIONSHIP		Reference year	
			Taxpayer	Intermediary		
INDIVIDUALS						
	Surname		Name		Gender	
					M F	
	Date of birth		Town (or foreign Country) of birth		Province (initial)	
	day	month	year			
TAXPAYERS OTHER THAN INDIVIDUALS						
	Name or company name				Legal nature	
NON-RESIDENT TAXPAYERS						
	Foreign Country code					
INFORMATION REGARDING REPRESENTATIVE SIGNING THE RETURN						
	Tax code		Appointment code		Company tax code	
	Surname		Name		Gender	
					M F	
	Date of birth		Town (or foreign Country) of birth		Province (initial)ia (sigla)	
	day	month	year			
	Foreign Country code		Federated state, province, county		Place of residence	
	Foreign address					
CONTACT DETAILS						
	Telephone		Mobile phone		Fax	
	dialling code number		dialling code number		dialling code number	
	Email address					
SIGNATURE						
	Send electronic notice to intermediary		SIGNATURE			
UNDERTAKING TO ELECTRONIC SUBMISSION						
	tax number of the responsible party				Reception of electronic notice	
	Date of the undertaking				Signature of the responsible party	
	day	month	year			

PART TT

Section I  
Data on transactions  
carried out

JANUARY									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return					
Payments			3	4	6	7	8		
			,00	,00	,00	,00	,00		
FEBRUARY									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
Payments			3	4	5	6	7	8	
			,00	,00	,00	,00	,00	,00	
MARCH									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
Payments			3	4	5	6	7	8	
			,00	,00	,00	,00	,00	,00	
APRIL									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
Payment			3	4	5	6	7	8	
			,00	,00	,00	,00	,00	,00	
MAY									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
Payments			3	4	5	6	7	8	
			,00	,00	,00	,00	,00	,00	
JUNE									
	Number of transactions	Taxable amount	Amount owed						
Holdings	1	2	3						
		,00	,00						
	Number of transactions	Notional Value	Amount owed						
Derivatives	1	2	3						
		,00	,00						
	Number of transactions	Taxable amount	Amount owed						
High frequency trades	1	2	3						
		,00							
			Total amount owed	Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid	
Payments			3	4	5	6	7	8	
			,00	,00	,00	,00	,00	,00	

PART TT  Section I Data on transactions carried out	JULY										
	TT25 Holdings	Number of transactions	Taxable amount	Amount owed							
		1	2	3							
	TT26 Derivatives	Number of transactions	Notional Value	Amount owed							
		1	2	3							
	TT27 High frequency trades	Number of transactions	Taxable amount	Amount owed							
		1	2	3							
		Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid		
	TT28 Payments			3	4	5	6	7	8		
	AUGUST										
TT29 Holdings	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
TT30 Derivatives	Number of transactions	Notional Value	Amount owed								
	1	2	3								
TT31 High frequency trades	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
	Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid			
TT32 Payments			3	4	5	6	7	8			
SEPTEMBER											
TT33 Holdings	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
TT34 Derivatives	Number of transactions	Notional Value	Amount owed								
	1	2	3								
TT35 High frequency trades	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
	Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid			
TT36 Payments			3	4	5	6	7	8			
OCTOBER											
TT37 Holdings	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
TT38 Derivatives	Number of transactions	Notional Value	Amount owed								
	1	2	3								
TT39 High frequency trades	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
	Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid			
TT40 Payments			3	4	5	6	7	8			
NOVEMBER											
TT41 Holdings	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
TT42 Derivatives	Number of transactions	Notional Value	Amount owed								
	1	2	3								
TT43 High frequency trades	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
	Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid			
TT44 Payments			3	4	5	6	7	8			
DECEMBER											
TT45 Holdings	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
TT46 Derivatives	Number of transactions	Notional Value	Amount owed								
	1	2	3								
TT47 High frequency trades	Number of transactions	Taxable amount	Amount owed								
	1	2	3								
	Total amount owed			Use of credit from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid			
TT48 Payments			3	4	5	6	7	8			

Section II Summary	Credit from previous return		Offset credit F24 form		Excess amounts paid, current return		Amount used		Credit on supplementary statements	
	1		1A		2		3		4	
	,00		,00		,00		,00		,00	
TT49	Credit to carry forward		Credit requested as refund							
	5		6							
		,00		,00						

Section III Holdings and derivatives Exclusions/exemptions	PARAGRAPH 491				PARAGRAPH 492				
	Number of transactions		Taxable amount		Number of transactions		Notional value		
TT50 Issuance and cancellation	1		2		3		4		
			,00				,00		
TT51 Purchase of newly issued shares	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT52 Allocation of securities	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT53 Repo and Security Lending	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT54 Intragroup	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT55 CIU Restructuring and Reorganisation	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT56 Riskless Principal	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT57 Sovereign entities	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT58 Ethical funds	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT59 Ethical portfolios	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT60 Market-Making	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT61 Liquidity support	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	
TT62 Pension funds	Number of transactions		Taxable amount		Number of transactions		Notional value		
	1		2		3		4		
				,00				,00	