

## **FTT FORM**

### **FINANCIAL TRANSACTION TAX**

Information on the processing of personal data pursuant art. 13, Legislative Decree no.196/2003 in the field of Personal Data Protection

Legislative Decree No. 196 of the June 30, 2003 "The code for the protection of personal data", provides for a system of protection for the processing carried out on personal data.

## Purposes of processing

The Ministry of the Economy and Finance and the Revenue Agency wish to inform you, on their behalf and on behalf of other persons obliged to do so, that the form contains several items of personal data that will be processed by the Ministry of the Economy and Finance and the Revenue Agency, as well as by intermediaries identified by legislation (Tax Assistance Centres, banks, postal agencies, trade ssociations and professionals) for the purposes of payment, assessment and collection of taxes and that, to this end, certain data may be published pursuant to the combined provisions of article 69 of Presidential Decree no. 600 of 29 September 1973 as amended by Law no. 133 of 6 August 2008, and by article 66-bis of Presidential Decree no. 633 of 1972.

The data in the possession of the Ministry of the Economy and Finance and the Revenue Agency may be communicated to other public entities where legislation provides for this, or when such communication is necessary in order for them to carry out their institutional functions, subject to this being communicated to the Privacy Commissioner (Data Protection Commissioner) beforehand.

The same information may also be communicated to private or public economic entities where the legislation provides for this.

#### Personal data

The data requested in the form must be supplied to prevent the application of administrative and, in some instances, criminal sanctions. It is not compulsory to provide a telephone number, mobile phone number, fax number and email address. Providing these makes it possible to receive, free of charge, from the Revenue Agency, information and updates regarding final payment dates, news, obligations and services offered.

# Method of processing

The form may be delivered to an intermediary provided for by legislation (Tax Assistance Centres [CAF], trade associations, professionals) who sends the data to the Ministry of the Economy and Finance and the Revenue Agency.

Any data provided is mainly processed electronically and using appropriate procedures for the purpose, including checks on the data contained in the form:

- with other data in the possession of the Ministry of the Economy and Finance and the Revenue Agency, also if provided, as required by law, by other subjects;
- with data in the possession of other entities

### **Data controllers**

When the said data is made available to them and falls under their direct control, the Ministry of the Economy and Finance, the Revenue Agency and the intermediaries become "the data controllers for the processing of the personal data".

In particular the following persons are "data controllers":

- the Ministry of the Economy and Finance and the Revenue Agency, at whose offices a list of the "data processors" is kept and this list may be viewed on request;
- if they take advantage of the right to appoint "data processors", the intermediaries must supply details as to the identity of the data processors, to the person concerned.

# Persons responsible for data processing

"Data controllers" may make use of the services of others designated "responsible".

In particular, the Revenue Agency makes use of the services of the company So.ge.i. S.p.a. as the external entity responsible for data processing, in its capacity as technological partner to which the management of the information system of the Tax Register is entrusted.

### Taxpayer's rights

The person (taxpayer) concerned, in terms of article 7 of Legislative Decree No. 196/2003, may view his personal data at the premises of the data controller or the person responsible for data processing in order to verify the use to which it is being put or if necessary, to correct or update it within the limits provided for by law, or to cancel it or oppose its processing, where it is being processed illegally.

These rights may be exercised upon request to:

- Ministero dell'Economia e delle Finanze Via XX Settembre 97 00187 Roma;
- Agenzia delle Entrate Via Cristoforo Colombo, 426 c/d Roma.

### Consent

The Ministry of the Economy and Finance and the Revenue Agency, in their capacity as public entities, do not need to acquire the consent of the persons concerned in order to process their personal data. Intermediaries do not need to acquire consent for processing of personal data, as their conferment is required by law.

This information is given generally on behalf of all the data controllers referred to above.





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## **FTT FORM**

### **FINANCIAL TRANSACTION TAX**

TYPE OF				REL	ATIONSHIP					
RETURN	Correction of existing return	Supplementa return	ary	Taxpayer	Intermediary		Reference ye	ear		
INDIVIDUALS	Surname			Name					Gender	
								М	F	
								IVI		
	Date of birth  day month	year	Town (or fo	reign Country) of birt	h				Province (initial)	
TAXPAYERS OTHER THAN INDIVIDUALS	Name or company na	ame						Legal	nature	
NON-RESIDENT TAXPAYERS	Foreign Country code	e								
INFORMATION REGARDING	Tax code				Appointment code	Comp	any tax code			
REPRESENTATI VE							·			
SIGNING THE RETURN	Surname			Name					Gender	
								М	F	
	Date of birth		Town (or fo	reign Country) of birt	h			Provin	ce (initial)ia (sigla)	
	day month	year	iowii (oi io	roigh Country) of Sire	"			1 10111	oo (iiiiiai)ia (oigia)	
	Foreign Country code I	Federated state, p	rovince, cou	nty			Place of resid	dence		
	Foreign address									
CONTACT	Telephone			Mobile phone			Fax			
DETAILS	dialling code number			dialling code number			dialling code nu	mber		
	Email address									
	Ellidii audiess									
SIGNATURE										
	Send electronic noti				SIGNATURE					
UNDERTAKING	tax number of the res	sponsible party								
TO ELECTRONIC					Rece	eption of				
SUBMISSION							the responsible p	artv		
	Date of the undertaki	year			Olgii		e roopondible p	<u>.</u>		

PART TT	JANUARY	Number of	<b>-</b>							
		Number of transactions	Taxable amount		Amount owed					
Section I	TT1 Holdings	1	2	,00	,00					
Data on tran- sactions	1	Number of transactions	Notional Valu	ie	Amount owed					
carried out	TT2 Derivatives	1	2	,00 3	,00,					
carried out	I Carlo	Number of	Taxable	,00	Amount owed					
	High TT3 frequency	transactions	amount 2	3		Use of credit				
	trades			,00	Total amount,00	from previous return		Amount paid	Amount paid	Excess
	TT4 Payments			3	owed	4	6	using F24		amount paid
	· ·				,00,	,00		,00	,00_	,00
	FEBRUARY	Number of	Taxable							
		transactions	amount	3	Amount owed					
	TT5 Holdings	Number of	2	,00	,00,					
		transactions	Notional Valu		Amount owed					
	TT6 Derivatives	1	2	,00	,00,					
	High	Number of transactions	Taxable amount		Amount owed					
	TT7 frequency	1	2	,00	,00	Use of credit	laa af armakra			_
	trades				Total amount owed	from previous U return	Jse of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT8 Payments			3	,00	4 ,00 5	,00 6	.00		
	MARCH				,00	,00	,00	,00	,00	,00
	WAROIT	Number of transactions	Taxable		Amount					
	TT9 Holdings	1	amount 2	3	Amount owed					
	1 13 Holdings	Number of		,00	,00,					
		transactions	Notional Value	ie 3	Amount owed					
	TT10 Derivatives	Number of		,00	,00,					
	High	transactions	Taxable amount		Amount owed					
	TT11 frequency trades	1	2	,00	,00	Use of credit from previous	Jse of surplus	Amount paid	Amount noid	Excess
	addo				Total amount owed	return	payments	using F24	Amount paid by bank transfer	amount paid
	TT12 Payments			3	.00	4 ,00 5	,00	.00	,00	,00
	APRIL									
		Number of transactions	Taxable amount		Amount owed					
	TT13 Holdings	1	2	,00 3	,00,					
		Number of transactions	Notional Valu		Amount owed					
	TT14 Derivatives	1	2	3						
		Number of	Taxable	,00	,00					
	High TT15 frequency	transactions	amount	3	Amount owed	Use of credit				
	trades			,00	,00 Total amount	from previous U	Jse of surplus	Amount paid	Amount paid	Excess
				2	owed	return 5	payments 6	using F24	by bank transfer	
	TT16 Payment			3	,00	4 ,00 5	,00	,00	,00	,00
	MAY	Number of	Taxable							
		transactions	amount		Amount owed					
	TT17 Holdings	Nurshau - f	2	,00	,00,					
		Number of transactions	Notional Valu	ie	Amount owed					
	TT18 Derivatives	1	2	,00 3	,00					
	Lligh	Number of transactions	Taxable amount	,50	Amount owed					
	High TT19 frequency	1	2	3		Use of credit				
	trades			,00	Total amount	from previous U	Ise of surplus payments	Amount paid	Amount paid by bank transfer	Excess
	TT20 Payments			3	owed	4 5		using F24		
					,00,	,00	,00	,00	,00	,00
	JUNE	Number of	Taxable		Amount aread					
	TT04 Holdings	transactions	amount	3	Amount owed					
	TT21 Holdings	Number of	<u> </u>	,00	,00					
		transactions	Notional Valu		Amount owed					
	TT22 Derivatives	1 — Numaha : f	2	,00	,00,					
	High	Number of transactions	Taxable amount		Amount owed					
	TT23 frequency	1	2	,00 3	,00	Use of credit		A		- Funns
	trades				Total amount owed	return	Jse of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT24 Payments			3	,00	4 ,00 5	,00 6	,00		,00
					,00	,00	,00	,00	,00	,00

PART TT	JULY									
	OOLI	Number of transactions	Taxable amount	Am	ount owed					
Section I	TT25 Holdings	1	2	3						
Data on tran		Number of		00	,00					
sactions	TT00 D. 1 . 1	transactions	Notional Value	3 Am	ount owed					
carried out	TT26 Derivatives	Number of	,(	00	,00					
	High	Number of transactions	Taxable amount		ount owed					
	TT27 frequency trades	1	2	3	,00	Use of credit	Lloo of ourselve	Amount noid	Amount noid	F
	liades			Tota	al amount owed	from previous return	Use of surplus payments	Amount paid using F24	Amount paid by bank transfer	Excess amount paid
	TT28 Payments			3		4	5 6			8
					,00	,00	,00	,00,	,00	,00
	AUGUST	Number of	Taxable							
		transactions	amount		ount owed					
	TT29 Holdings	Number of	,(	3	,00,					
		transactions	Notional Value	Am	ount owed					
	TT30 Derivatives	1	2	3	.00					
	Lliab	Number of transactions	Taxable amount		ount owed					
	High TT31 frequency	1	2	3		Use of credit				
	trades		,(	00 Tota	,00 al amount	from previous	Use of surplus	Amount paid	Amount paid	Excess
				2	owed	return	payments 6	using F24	by bank transfer	amount paid
	TT32 Payments				,00	,00	,00	,00	,00	,00
	SEPTEMBE	Number of	Toyokla							
		transactions	Taxable amount	Am	ount owed					
	TT33 Holdings	1	2	3	.00					
		Number of transactions	Notional Value		ount owed					
	TT34 Derivatives	1	2	3						
		Number of	Taxable		,00					
	High TT35 frequency	transactions	amount	3	ount owed	Use of credit				
	trades		,(	00	al amount	from previous	Use of surplus	Amount paid	Amount paid	Excess
				-	owed	return	payments	using F24	by bank transfer	amount paid
	TT36 Payments			3	,00	4 ,00	5 ,00 6	,00	,00	8 ,00
	OCTOBER	N								
		Number of transactions	Taxable amount	Am	ount owed					
	TT37 Holdings	1	2	3	.00					
		Number of transactions	Notional Value		ount owed					
	TT38 Derivatives	1	2	3						
	1136 Delivatives	Number of	Taxable ,(	00	,00					
	High	transactions	amount	Am <sub>3</sub>	ount owed	11				
	TT39 frequency trades	'	,(	00	,00 al amount	Use of credit from previous	Use of surplus	Amount paid	Amount paid	Excess
				100	owed	rėturn	payments	using F24	by bank transfer	amount paid
	TT40 Payments			3	,00	4 ,00	5 ,00 6	,00	7 ,00	,00
	NOVEMBE	R., ,								
		Number of transactions	Taxable amount	Am	ount owed					
	TT41 Holdings	1	2	3	.00					
		Number of transactions	Notional Value							
	TT42 Derivatives	1	2	3	ount owed					
	1 142 Delivatives	Number of	Taxable ,(	00	,00					
	High	transactions	amount		ount owed					
	TT43 frequency trades	'	2 ,(	3	,00	Use of credit from previous	Use of surplus	Amount paid	Amount paid	Excess
	llaues			lot	al amount owed	return	payments	using F24	by bank transfer	amount paid
	TT44 Payments			3	.00	4 ,00	5 ,00 6	,00	7 ,00	8 ,00
	DECEMBE	R			,			, , , ,	, , ,	
	DECEMBE	Number of transactions	Taxable	Δm	ount owed					
	TT45 Holdings	1	amount	3						
		Number of		00	,00					
		transactions	Notional Value	2	ount owed					
	TT46 Derivatives	Number of	,(	00 3	,00					
	High	transactions	Taxable amount		ount owed					
	TT47 frequency	1	2 ,(	3	,00	Use of credit from previous	Use of surplus	Amount paid	Amount paid	Evene
	trades				al amount owed	return	payments	using F24	by bank transfer	Excess amount paid
	TT48 Payments			3	,00	4 ,00	5 6	,00	7 ,00	8 ,00
-					,00	,00	,00	,00	,00	,00

TAX CODE | | | | | | | | | | |

Section II Summary		Credit from previous return	Excess amounts paid, current return	Amount used	C	credit on supplemen- tary statements					
•	TT 40	1 ,00 2	,00	.00	4	.00	5		,00		
	TT49	Credit requested as refund									
		6 ,00									
Section III Holdings and			PARA	PARAGRAPH 491							
derivatives			Number of transactions	Taxable amount		Number of transactions		Notional value			
Exclusions/ex emptions	TT50 Issuand	e and cancellation	1	2	.00	3	4		,00		
Сприона			Number of transactions	Taxable amount	,	Number of transactions		Notional value	,		
	TT51 Purchas	se of newly issued shares	1	2	,00	3	4		,00		
			Number of transactions	Taxable amount		Number of transactions		Notional value			
	TT52 Allocati	on of securities	1	2	,00	3	4		,00		
			Number of transactions	Taxable amount		Number of transactions		Notional value			
	TT53 Repo a	nd Security Lending	1	2	,00	3	4		,00		
			Number of transactions	Taxable amount		Number of transactions		Notional value			
	TT54 Intragro	pup		2	,00	3	4		,00		
			Number of transactions	Taxable amount		Number of transactions		Notional value			
	TT55 CIU Re	structuring and Reorganisation			,00	3	4		,00		
			Number of transactions	Taxable amount		Number of transactions	4	Notional value			
	TT56 Riskles	s Principal		2	,00		4		,00		
		· · ·	Number of transactions	Taxable amount		Number of transactions	4	Notional value			
	TT57 Soverei	gn entities		- · · ·	,00	<b>N</b> 1 5 6 6	Ľ		,00		
	TT58 Ethical	fundo	Number of transactions	Taxable amount		Number of transactions	4	Notional value			
	1130 Ettilical	iulius	Number of transactions	Taxable amount	,00	Number of transactions		Notional value	,00		
	TT59 Ethical	nortfolios	Number of transactions	2		3	4	Notional value			
	1139 Etilicai	portionos	Number of transactions	Taxable amount	,00	Number of transactions		Notional value	,00		
	TT60 Market-	Making	1	2		3	4	Notional value	00		
	i i oo iviai ket-	iviakiriy	Number of transactions	Taxable amount	,00	Number of transactions		Notional value	,00		
	TT61 Liquidit	v support	1	2	,00	3	4	Notional value	,00		
		,	Number of transactions	Taxable amount		Number of transactions		Notional value	,00		
	TT62 Pension	n funds	1	2	,00	3	4	Troughai Paido	,00		
					,00				,00		